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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 § 1.53(b))

Attorney Docket No.	27751/04006
First Inventor	Walid R. Tout
Title	METHOD AND SYSTEM FOR INTERNATIONALIZING DOMAIN NAMES
Express Mail Label No.	ELO84655433US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18 ]  
(preferred arrangement set forth below)

- x - Descriptive title of the Invention
- x - Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- x - Background of the Invention
- x - Brief Summary of the Invention
- x - Brief Description of the Drawings (if filed)
- x - Detailed Description
- x - Claim(s)
- x - Abstract of the Disclosure

4. ☒ Formal Drawing(s) (35 U.S.C. 113) [Total Sheets 2 ]

5. ☒ Oath or Declaration [Total Pages 2 ]

- a ☒ Copy of newly executed Declaration
- b ☐ Copy from a prior application (37 CFR § 1.63(d))

(for continuation/divisional with Box 18 completed)

### i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33 (b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on
    - i. CD-ROM or CD-R (2 copies); or
    - ii. paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR §3.73 (b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check in the amount of \$355.00

If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/358,043

Prior application information: Examiner P. Tran

Group Art Unit: 2758

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Signature		Date	November 27, 2000

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	<i>Attorney Docket No.</i>		<b>27751/04006</b>
	<i>First Inventor</i>		<b>Walid R. Tout</b>
	<i>Title</i>	<b>METHOD AND SYSTEM FOR INTERNATIONALIZING DOMAIN NAMES</b>	
	<i>Express Mail Label No.</i>		<b>ELO84655433US</b>

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**FEE TRANSMITTAL**  
**for FY 2001**

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**Complete if Known**

Application Number	Not Issued
Filing Date	November 27, 2000
First Named Inventor	Walid R. Tout
Examiner Name	Not Issued
Group Art Unit	Not Issued
Attorney Docket No.	27751 / 04006
Express Mail Label No.	EL084655543US

**TOTAL AMOUNT OF PAYMENT** **\$355.00****METHOD OF PAYMENT**

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- 2.
- ☒
- Payment Enclosed:**

☒ Check in the amount of \$1,240.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	659	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **\$****FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>\$</b>

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** =	x	=
3	- 3** =	x	=
Multiple Dependent			=

Large Entity Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater. For Reissue, see above

**SUBMITTED BY**

Name (Print/Type)	Peter Kraguljac	Registration No. (Attorney/Agent)	38,520	Complete (if applicable)	Telephone	216/622.8654
Signature	<i>Peter Kraguljac</i>	Date	November 27, 2000			

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## **METHOD AND SYSTEM FOR INTERNATIONALIZING DOMAIN NAMES**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

5           This application is a continuation of U.S. Application No. 09/358,043 filed July 21, 1999 which claims the benefit of U.S. Provisional Application No. 60/124,956 filed March 18, 1999.

### **BACKGROUND OF THE INVENTION**

10           The present invention relates to the internet arts. It finds particular application to a method and system for internationalizing internet domain names such that a non-compliant international domain name can be processed by the existing internet structure.

15           With the proliferation and extremely fast adoption of the Internet around the globe, the need for international capabilities on the Net has become a matter of absolute necessity. A lot of work has been done so far on the subject of localization of scripts and the internationalization (I18N) of systems. However, up to this date, the Internet has remained more associated and very tightly dependent upon the English language since the  
20           current Domain Name System (DNS) is presently restricted to the monospace 7 bit ASCII English language alphabet.

          The Domain Name System is the part of the Internet infrastructure that translates human-readable domain names into the Internet Protocol (IP) numbers needed to  
25           establish TCP/IP communication over the Internet. So far, existing domain name server systems accept only domain names according to RFC1035. RFC1035 specifies the alphabet (set of allowed symbols), the syntax and all restrictions for permissible/valid domain names. Currently, only A to Z upper case, a to z lower case, the "-" and "." are permitted.

30           There have been proposals which suggest changing the domain name server system to accommodate I18N. While the proposed solution could work, it requires major

changes to the Internet as it exists today. Domain name servers around the globe, which number in the thousands, would have to be changed or updated. In the meantime, existing domain name servers would not be able to handle the new queries sent to them by I18N-enabled domain name servers. Results of these I18N queries can vary anywhere  
5 from single rejection to a complete crash of the non-enabled domain name servers.

The present invention provides a solution to this problem in that the present invention would allow users of the Internet to use international domain names mainly in their own script or characters. The present invention works with the existing domain  
10 name servers around the world and does not require any updates to be applied to these servers nor any changes to be made to their configurations.

The present invention provides a new and unique method and system for internationalizing domain names which cures the above problems and others.  
15

### **SUMMARY OF THE INVENTION**

In accordance with the present invention, a method of converting an internet international domain name to an RFC1035 compliant format is provided. The  
20 international domain name includes non-English characters which are RFC1035 non-compliant. The international domain name is intercepted and transformed to an RFC1035 compliant domain name. A redirector string is appended to the compliant domain name where the redirector string directs resolution of the RFC1035 compliant domain name to a domain name server.

25 In accordance with a more limited aspect of the present invention, the intercepting is transparent to the user and occurs on a user's computer.

In accordance with another aspect of the present invention, a method for enabling  
30 a user device to be connected to an Internet address where a domain name request originates in a non-compliant format is provided. The non-compliant domain name request is transformed to a converted domain name in a compliant format where the

transforming is transparent to a user. A redirector string is automatically appending to the transformed compliant domain name which includes information for directing the compliant domain name to a domain name server that resolves the compliant domain name such that the user device is connected to an Internet address corresponding to the  
5 compliant domain name.

In accordance with a more limited aspect of the present invention, the redirector string is automatically generated.

10 One advantage of the present invention is that international domain names are converted to a compliant format such that current domain name servers do not have to be modified in order to accept international domain names.

Another advantage of the present invention is that transformation of a domain  
15 name and generation of the redirector information is performed prior to being received by a domain name server.

Another advantage of the present invention is that the domain name transformation allows for a reverse look-up transformation such that an IP number can be  
20 reverse transformed to obtain its corresponding international domain name.

Still further advantages of the present invention will become apparent to those of ordinary skill in the art upon reading and understanding the following detailed description of the preferred embodiments.

25

## **BRIEF DESCRIPTION OF THE DRAWINGS**

The following is a brief description of each drawing used to describe the present invention, and thus, are being presented for illustrative purposes only and should not be  
5 imitative of the scope of the present invention, wherein:

**Figure 1** illustrates an Internet and user configuration in accordance with the present invention; and

**Figure 2** illustrates the domain name transformation process in accordance with the present invention.

## **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

10  
15  
20  
With reference to **Figure 1**, a user system **10** is typically connected to the Internet **15** through an Internet service provider (ISP) **20**. The following description works with any Internet compliant programs such as a browser, email, ftp, telnet, gopher, news, and others as is know in the art. A browser is used here for exemplary purposes. An Internet program **25**, such as a browser, runs on the user's computer and provides an interface between the user **10** and the Internet **15**. The browser **25** helps the user maneuver through sites on the Internet **15** and communicate information between the user **10** and the sites. The user establishes a connection to a site by requesting a domain name of the site into the browser **25**. The browser initiates resolution of the domain name which ultimately results in obtaining an Internet protocol number (hereinafter "IP number") that is an Internet address of the website or other Internet location identifier corresponding to the domain name as is known in the art.

25  
A domain name server (DNS1) **30** is connected to the internet service provider **20** and processes domain name requests to retrieve a corresponding IP number. Currently, there are about 300,000 domain name servers throughout the world each being responsible for the domain names of a group of domains which were registered to that domain name server. Each domain name server includes a database containing registered

domain names, their corresponding IP number/address, and other domain related information. If the domain name requested is unknown to the domain name server **30**, it will consult a root server selected from a group of root servers **35**. Currently, there are about 13 main root servers throughout the world.

5           Each root server **35** handles a pre-determined set of domain names based on its top level domain. For example, there are a few root servers responsible for handling all domain names with “.com” as their top level domain. Another set of root servers is responsible for all domain names having “.org” as its top level domain and so on. For each domain name registered within a root server, the root server identifies which domain  
10   name server (or another root server) is responsible for the domain name. Current root servers are configured to store a primary domain name server address and up to four back-up domain name servers which are responsible for resolving the domain name requested. The processing is then transmitted by DNS1 **30** to the appropriate domain name server, for example, domain name server (DNS2) **40** which returns an IP number  
15   for the domain name requested. This resolution process many involve more intermediate DNS servers along the way but will always function in a similar manner to what was explained here. The user may then connect to the site corresponding to that IP number. Of course, once the IP number is known, any Internet connection (e.g. Telnet, ftp, etc.) can be made.

20           As mentioned in the background section, current domain name servers are limited to receiving domain names which are RFC1035 compliant. In other words, domain names must be in the English alphabet. The present system cures this short coming by allowing a user **10** to request a domain name that includes non-English characters (which is hereinafter called an “international domain name”). In order to avoid modifying the  
25   domain name servers to handle such a request, the international domain name is converted by the present system to an RFC1035 compliant domain name before it is received by the domain name server.



With further reference to **Figure 1**, a domain name transformer **50** is installed in the user's system **10** and includes a software layer that is inserted inside the TCP/IP stack on the computer system. This layer is positioned to intercept all domain resolution calls on the user's system prior to reaching the resolver **55**. In a Windows based system, if

5 Winsock 1.x (a Windows socket layer) is operating on the user's system, the winsock.dll is shifted in the processing sequence by a new winsock.dll. The new winsock.dll is positioned before the original winsock.dll so that domain name function calls, such as GetXbyY, are intercepted. The new winsock.dll then transforms the international domain names as described below. After the transformation, the new winsock.dll passes the

10 processing to the original winsock.dll with the transformed domain name. Other function calls directed to Winsock that are not related to domain name functions pass-through the new winsock.dll to the original winsock.dll. Another version of Winsock, Winsock 2, includes Layered Service Provider (LSP) and Name Space Provider (NSP) which are both layers. The NSP provides the GetXbyY function so that queries that have to resolve

15 a domain name are performed by this component. In the case of Winsock 2, the present system installs a new version of the NSP which is a domain name server NSP replacing the original NSP or adds an additional NSP layer to function with the original NSP. When the new NSP receives a domain name, it applies the transformation logic of the present invention to transform an international domain name to a compliant format, and

20 then calls the original resolver function **55** (e.g. GetXbyY, WSAGetXbyY) with the transformed name. Regardless of the user's original software, it will be appreciated that the present invention can be installed at any desired position in the processing sequence on the user's machine such that an international domain name is intercepted/obtained, and transformed before it reaches a domain name server (e.g. between the origination of

25 the domain name and the resolving of the domain name).

With the present transformation software in place, when the user requests an international domain name, the domain name transformer **50** intercepts the request and converts the international domain name to an RFC1035 compliant format. The transformation is performed transparent to the user and to the other components of the

30 system so that additional modifications to the system are not required. Once the international domain name is transformed to a proper format, it is then passed to the

resolver 55 which completes the domain resolution call function. The resolver 55 communicates to the domain name server 30 where the domain name is resolved as usual. Thus, the current domain name servers are unaware of the transformation and do not have to be modified in order to process an international domain name. The present invention,  
5 using redirector information, allows an existing domain name server to resolve an international domain name in the same manner as domain names are currently resolved.

With reference to **Figure 2**, the transformation process is shown. When an international domain name is requested 100, the domain name is intercepted 110 before it reaches the system's domain name resolver 55. In other words, the domain name is  
10 obtained by the present system whether it is entered by the user, activated from a hyperlink, or obtained in any other manner as is known in the art. The domain name is traversed to determine if any character exists which is not RFC1035 compliant. If any such character exists, then the domain name is considered to be an international domain name. Depending on the user's version of software, UNICODE is either supported or  
15 not. If UNICODE is not supported, the present system performs the additional steps of determining the language 115 of the international domain name and then converting 120 the international domain name to its corresponding UNICODE string. The language of the domain name is determined from the active code page ID from the user's system. The code page ID identifies what language the domain name is in and, thus, identifies its  
20 character set. By knowing the character set, the international domain name is converted to its UNICODE string as is known in the art. If, however, the user's system supports UNICODE, these previous two steps are skipped because the domain name will already be put in UNICODE format by the system. The UNICODE string is then transformed 125 to an RFC1035 compliant format which is described as follows.

25 The current domain name protocol RFC1035 includes only 37 characters. Using binary format, at least 5-bits are required to represent 37 values. UNICODE, however, is a 16-bit format. Thus, the 16-bit format of the UNICODE string is transformed to a 5-bit format that is RFC1035 compliant. This transformation, called UTF-5, is described in the memorandum "Internationalization Of Domain Names," by H. Duerst, July 1998 which is  
30 incorporated herein by reference.

Several encodings for the Universal Character Set (UCS), so called UCS Transform Formats (UTF), exist already, namely UTF-8 [RFC2044], UTF-7 [RFC1642], and UTF-16 [UNICODE]. Unfortunately, none of them is suitable for the present transformation from 16-bits to 5-bits. Therefore, UTF-5 is defined to perform this  
5 encoding using the following principles:

- To accommodate the slanted probability distribution of characters in UCS4 (Universal Character Set four bytes long), a variable-length encoding is used.

- Each target letter encodes 5 bits of information. Four bits of information encode character data, the fifth bit is used to indicate continuation of the variable-length  
10 encoding.

- Continuation is indicated by distinguishing the initial letter from the subsequent letter.

- Leading four-bit groups of binary value 0000 of UCS4 characters are discarded, except for the last two groups (i.e. the last octet). This means that looking at the  
15 UNICODE layout map of languages, ASCII and Latin-1 characters need two target letters, the main alphabets up to and including Tibetan need three target letters, the rest of the characters in the BMP need four target letters, all except the last (private) plane in the UTF-16/Surrogates area [UNICODE] need five target letters, and so on.

- The letters representing the various bit groups in the various positions are chosen  
20 according to the following table:

Nibble Value		Initial
Hex	Binary	
0	0000 G	0
1	0001 H	1
2	0010 I	2
3	0011 J	3
4	0100 K	4
5	0101 L	5
6	0110 M	6
7	0111 N	7
8	1000 O	8
9	1001 P	9
A	1010 Q	A
B	1011 R	B
C	1100 S	C
D	1101 T	D
E	1110 U	E
F	1111 V	F

As an example, suppose a current domain is “is.s.u-tokyo.ac.jp” with the components standing for information science (is), science (s), the University of Tokyo (u-tokyo), academic (ac), and Japan (jp). This might be represented by “JOUHOU.RI.TOUDAI.GAKU.NIHON” (a transliteration of the kanji that might probably be chosen to represent the same domain). Writing each character in U+HHHH notation as in UNICODE (represented by a “U+” and four hexadecimal digits HHHH), this results in the following:

U+60c5U+5831.U+7406.U+6771U+5927.U+5b66.U+65e5U+672c

This UNICODE string is given for reference only. It is not the actual encoding or something being typed in by the user. The UNICODE string is then transformed to RFC1035 compliant format according to UTF-5 before submitting it to the domain name server resolver. The UNICODE string becomes:

M0C5L831.N406.M771L927.LB66.M5E5M72C

Using the above table, it is seen that the HHHH component “60c5” is transformed to “M0C5” since “6” is encoded to “M.” The “5831” becomes “L831” and so on.

5 Of course, the transformations of the present invention are dependent on the current protocols and standards. Thus, if the protocols are changed such that different character sets are used, the transformation would change to adopt the new protocols. It will be appreciated that if RFC1035 is no longer the complaint standard for domain names, the present invention can be easily modified such that the transformation converts the international domain names to the new domain name standard format.

The following is an another example that illustrates the present translation process:

صفحة وليد	Domain name as it appears on the screen as typed by user in Arabic.
d5 dd cd c9 dc e6 el ed cf	Corresponding system character code representation
	Code Page ID as returned by system is charset1256 (Arabic). The corresponding UNICODE Range 0x0600-0x06ff
0635 0641 062d 0629 0640 0648 0644 064a 062f	Translation of character codes into the corresponding UNICODE codes
M35M41M2dM29M40M48M44M4aM2f	Apply the restricted mapping from UNICODE to a RFC1035 compliant name (using the UDM - United Data Mapper)
ar.il8n.net	Use UDM to determine the redirector information including an iroot server set based on the UNICODE range; Select the candidate iroot server from the returned set
M35M41M2dM29M40M48M44M4aM2f.ar.il8n.net	Construct the final domain name by appending the redirector information to the RFC1035 compliant domain name obtained above
	Pass the final domain name to the TCP/IP layer below to perform name resolution per the normal operation.

With further reference to **Figure 2**, after the above transformation, the international domain name is in RFC1035 compliant format. The string “ar.il8n.net” is redirector information **130** that is appended to the converted string and functions like a top level domain, and identifies the authoritative domain name server responsible for the current domain name. Once the redirector information is appended to the domain name, the domain name becomes a fully qualified domain name (FQDN). A fully qualified domain name includes at least a top level domain and a secondary domain which is enough information to resolve the domain name. As explained previously, the domain name server **30** resolves a domain name by inquiring the root server **35** (the root server responsible for the top level domain found in the domain name) about which domain name servers are authoritative domain name servers for the given domain name. The responsibility of top level domains such as, “.com”, “.net”, “.org”, “.edu”, etc., is assigned to a pre-selected set of root servers. Thus, the inquiry for a domain name such as “abc.net” would be directed to one of the root servers in the root server set responsible for “.net” domains.

The above redirector information “ar.il8n.net” provides the following exemplary delegation instructions for resolving the international domain name. Of course, any identifiers can be used to represent a domain set. The “il8n” identifies the domain name as “international” and the “ar” further identifies it as being in Arabic which is determined from the UNICODE range of the domain name characters. The domain resolution is explained as follows. The transformed compliant domain name including the redirector information is received by the domain name server **30** where it is attempted to be resolved. The domain name server **30** identifies the top level domain “.net” for which it is not an authoritative DNS. As such, the domain name server consults an authoritative root server which is responsible for .net domains, for example, root server **m** from the root server group **35**. Examining the second level domain “il8n”, root server **m** determines from its database that the authoritative domain name server for this domain is, for example, DNS2 **40**. DNS1 **30** then communicates the entire domain to DNS2 **40**. DNS2 **40** first determines whether it is authoritative and delegated for this domain by scanning its database of registered domains. In this case, DNS2 **40** determines from the redirector information that the delegated server for “ar.il8n.net” (Arabic domains) is the

5 iroot server **i3** from iroot server group **60**. The resolution continues in the predescribed manner until the authoritative DNS for the current domain is determined which returns the IP number of the domain name. The foregoing example assumes that the domain “i18n.net” and sub-domain “ar.i18n.net” were properly pre-assigned and registered to the appropriate root servers and domain name servers.

10 The redirector information controls the delegation path for resolving the domain name. The redirector information can be a single unique top level domain which identifies an international root server (iroot server) or may include multiple levels of identifiers such as “ar.i18n.net”. As shown in **Figure 1**, a group of iroot servers **60** are connected to the Internet. For exemplary purposes, the iroot servers are identified as **i0**, **i1**, . . . **in**. Of course, any type of identifiers can be used to name the root servers. Each iroot server **60** is configured to function in the same manner as any other root server **35** which handle English domain names.

15 Another example of using the redirector information would include appending “.i3” to the converted domain name string. To generate the redirector information, the system determines which iroot server is responsible for the domain name. For this purpose, the UNICODE string is examined using a Unified Domain Mapper (UDM).  
20 The character values of the UNICODE string will belong to a specific character range. The character range in turn identifies the character set/language of the international domain name (e.g. Arabic, Japanese, etc.) Thus, if it is determined that the international domain name was entered in Arabic, the system selects the iroot server which is responsible for Arabic domain names (e.g. “i3”) and “.i3” becomes the top level domain.  
25 The domain name server **30** then knows to direct the domain name request to the proper iroot server to query for the user’s specified domain/host based on the redirector information, in this case, iroot server **i3**. Alternately, the redirector information may be generated from a predetermined string that covers all or a sub-set of the international domains. For example, “.i” can represent all international domains, “.ap” can represent a sub-set “Asia pacific”, “.ar” can represent a sub-set “Arabic” or any other predetermined  
30 identifiers. The redirector can be any of the current top level domains such as .com, .net, .org, etc. such that current root servers resolve the request. This predetermined redirector

can be appended to the transformed domain name by the software. Of course, any predetermined string can be used to identify an international domain and identify a responsible server. Alternately, the user or internet program can supply the redirector information along with the domain name, thus generating the redirector information. In  
5 this way, the user or program adds a ".i" to a domain name which identifies it as international.

With further reference to **Figure 2**, after the transformation, the RFC1035 compliant domain name includes the transformed domain name and the appended  
10 redirector information which makes it a fully qualified domain name. The compliant domain name is sent to the resolver where it is resolved 135 according to the resolver functions as described above. The resolver function communicates with the domain name server 30 and the process continues until the proper IP number corresponding to the original domain name is returned.

15 With the present invention, the international domain name transformation allows for the reverse look-up of domain names from their corresponding IP number. Each domain name server contains a data base of registered domain names and their corresponding IP number. Given an IP number, the domain name can be retrieved. This  
20 name, of course, is an RFC1035 compliant name which can be converted back to a UNICODE formatted string. The UNICODE string can then be translated back to its original character set in the original international language.

The present system also provides for dynamic modification of its software. When  
25 root servers are re-assigned or new root servers added, the redirector information must reflect these changes so that international domain names are properly resolved. The present system includes a periodic look-up function which periodically looks to root servers or other predefined locations on the internet to determine if changes have been made. If changes are made, modified software (such as a new UDM mapper) is provided  
30 automatically to the user system. In this manner, the present invention modifies and updates itself.



The present invention is transparent to the existing infrastructure of the Internet and is totally hidden in operation from both ends of the communication path, namely, the user **10** and the domain name server **30**. With the present invention, users are not required to add or change any configuration information on their computer systems.

5 Users can keep the same Internet Service Provider **20**, the same computer system and the same network configuration. All that is required is to install the present system in the user's computer system **10** as described above. Once the present system is installed, the user can start using international domain names immediately. The Internet Service Provider (ISP) and the Domain Name Servers (DNS) do not have to change their present  
10 configurations.

The invention has been described with reference to the preferred embodiment. Obviously, modifications and alterations to others upon a reading and understanding of this specification. It is intended to include all such modifications and alterations insofar  
15 as they come within the scope of the appended claims are the equivalence thereof.

I claim:

1. A method of converting an internet international domain name to an RFC1035 compliant format, where the international domain name includes non-English characters which are RFC1035 non-compliant, the method comprising:

5 intercepting the international domain name;

transforming the international domain name to an RFC1035 compliant domain name; and

10 automatically appending the redirector string to the RFC1035 compliant domain name where the redirector string directs resolution of the RFC1035 compliant domain name to a domain name server.

15 2. The method of converting as set forth in claim 1 further including automatically generating the redirector string based on the RFC1035 compliant domain name.

3. The method of converting as set forth in claim 1 further including automatically generating the redirector string based on a predetermined top level domain string.

20 4. The method of converting as set forth in claim 1 wherein the intercepting is transparent to a user.

25 5. The method of converting as set forth in claim 1 further including obtaining the international domain name on a user system and, the intercepting occurring at a network layer in the user system.

6. The method of converting as set forth in claim 5 wherein the intercepting occurs in a Windows socket layer.

7. The method of converting as set forth in claim 6 wherein if the Windows socket layer includes a name space provider that performs the intercepting and transforming.

5 8. The method of converting as set forth in claim 1 further including, after the intercepting, converting the international domain name to a UNICODE string if the international domain name is not in a UNICODE format, and wherein the transforming includes transforming the UNICODE string to the RFC1035 compliant domain name.

10 9. A method for enabling a user device to be connected to an Internet address where a domain name request originates in a non-compliant format, comprising the steps of:

transforming the non-compliant domain name request to a converted domain name in a compliant format, the transforming being transparent to a user; and

15 automatically appending a redirector string to said transformed compliant domain name which includes information for directing the compliant domain name to a domain name server that resolves the compliant domain name such that the user device is connected to an Internet address corresponding to the compliant domain name.

20 10. The method for enabling as set forth in claim 9 further including automatically generating the redirector string based on the compliant domain.

25 11. The method of claim 9 further including automatically generating the redirector string based on a predetermined top level domain.

12. The method of claim 9 wherein said step of appending said redirector string to said compliant domain name produces a fully qualified domain name.

30 13. The method of claim 9 wherein said transforming step comprises the step of converting the non-compliant domain name to a UNICODE string.

14. A software program for enabling a user device to be connected to the Internet wherein domain name requests in the user device are obtained having a non-compliant format; said program comprising:

instructions for transforming a non-compliant domain name request to a  
5 compliant domain name request; and

instructions for automatically appending a redirector string to the compliant domain name request which includes information for directing the compliant domain name request to a domain name server.

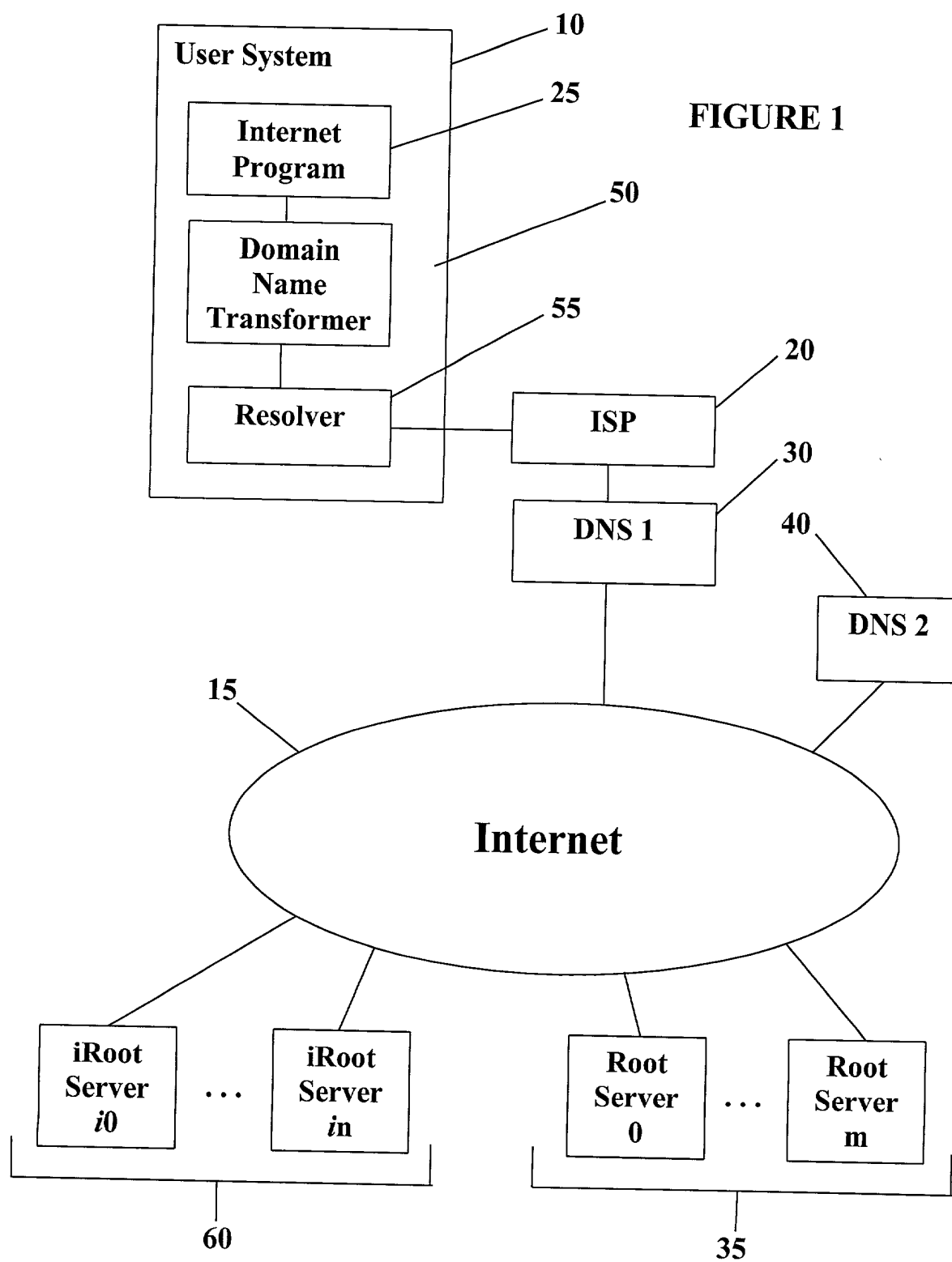
10 15. The software program as set forth in claim 14 further including instructions for automatically generating the redirector string based on the compliant domain name request.

15 16. The software program as set forth in claim 14 further including instructions for automatically generating the redirector string based on a predetermined string.

20 17. The software program as set forth in claim 14 further including instructions for modifying a domain name resolving process in the user device, where the modified domain name resolving process transforms the non-compliant domain name request to a compliant format.

## ABSTRACT OF THE DISCLOSURE

A method and system for internationalizing domain names is provided which allows domain names to be in any language without having to modify the existing Internet domain name servers. When a domain name includes non-English characters, a domain name transformer intercepts the domain name prior to reaching the resolver. The domain name is converted to a standard format which can represent all language character sets, such as UNICODE. The UNICODE string is then transformed to be in a compliant format. Redirector information is then appended to the compliant string which identifies the delegation of authoritative root servers and/or domain name servers responsible for the domain name. The compliant domain string is then resolved by the authoritative domain name server just as any English domain name.



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## FIGURE 2

CALFEE, HALTER & GRISWOLD LLP  
Docket No. 27751-0400

**DECLARATION AND POWER OF ATTORNEY  
ORIGINAL APPLICATION**

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND SYSTEM FOR INTERNATIONALIZING DOMAIN NAMES**

the specification of which

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ and accorded serial number \_\_\_\_\_.  
☐ and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim the benefit of United States priority under 35 USC §119(c) of the United States provisional application(s) listed below:

60/124,956                      March 18, 1999  
(Provisional Application No.)      (Filing Date)

I hereby claim the benefit of United States priority under 35 §§ USC 120 and 363 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

09/358,043                      July 21, 1999                      Pending  
(Application Serial No.)                      (Filing Date)                      (Status)

I hereby appoint the following attorney(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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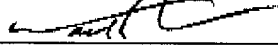
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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